

**CHANGE FORM – CONTRIBUTIONS & FUTURE INVESTMENT ELECTION**  
**IUE-CWA 401(k) Retirement Savings and Security Plan**  
**(Plan# 651794)**



- Use this form to make your employee contribution rate changes and future investment elections under the plan.
- Your choices on this form may affect your taxes. You may want to consult a tax or financial advisor.
- Please keep a copy of this form for your records and return the original completed form to:

**Mail: IUE-CWA 401(k) PLAN, 2001 E. 3<sup>rd</sup> Street, Bloomington, IN 47401 Fax: 812-671-9696 Phone #: 888-803-7449**

**Please check all that apply and complete only the sections of this form that you wish to make changes to. Leave all other sections blank.**

Change of address  Name change  Marital status change  Future investment election

**1. Participant Information**

Marital Status:  Married  Never Married  Divorced  Widowed

\_\_\_\_\_  
Social Security Number

\_\_\_\_\_  
Date of Birth (MM-DD-YYYY)

\_\_\_\_\_  
Gender

\_\_\_\_\_  
Last Name

\_\_\_\_\_  
First Name

\_\_\_\_\_  
MI

\_\_\_\_\_  
Address

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip Code

\_\_\_\_\_  
Daytime Telephone Number

\_\_\_\_\_  
Evening Telephone Number

\_\_\_\_\_  
Mobile Telephone Number

\_\_\_\_\_  
E-mail Address

\_\_\_\_\_  
Employer / Company Name

\_\_\_\_\_  
Original date employed (MM-DD-YYYY)

**2. Contribution Rate Election (Must be completed in order to change your payroll deduction. Minimum of \$4.00 per week or 1% of gross wages is required). This will replace any current contribution amounts.**

**I elect the following contribution rate(s) (check one and complete):**

- from my pay on a before-tax basis (any whole percentage between 1% - 100%) \_\_\_\_\_% per pay period
- from my pay on a before-tax basis \$\_\_\_\_\_ per pay period
- from my pay on a before-tax basis \$\_\_\_\_\_ per hour

Please note that if you attain age 50 before the close of the Plan Year, you are eligible to make catch-up contributions in accordance with, and subject to the limitations of, Code Section 414(v). Accordingly, if the election you choose above exceeds the 402(g) limit for the plan year contributions will continue until you reach the maximum contribution amount allowable for that plan year.

### 3. Your Future Investment Elections

Indicate below in whole percentages how your future contributions should be invested in each investment fund. *Please note this election will establish one set of elections for all investment types. You may also go online or call the Plan's toll-free number to make your investment elections. If you choose to make your investment elections online you will have the ability to choose different elections for each investment type.*

If you wish to change your existing balances, you will need to complete the **TRANSFER EXISTING BALANCES FORM**.

| Fixed/Stated Rate of Return                               |      |  | Multi-Asset/Other |      |                                    |
|---|------|--|-------------------|------|------------------------------------|
| %   | Y2KP | Prudential Guaranteed Income Fund        | %                 | N301 | American Funds American Balanced A |
| <b>Bonds</b>  |      |  | %                 | N910 | T. Rowe Price Retirement 2005 Adv  |
| %   | Y593 | Baird Aggregate Bond Inv                 | %                 | N614 | T. Rowe Price Retirement 2010 Adv  |
| %   | N377 | PIMCO Total Return                       | %                 | N911 | T. Rowe Price Retirement 2015 Adv  |
| <b>Stocks</b>   |      |  | %                 | N615 | T. Rowe Price Retirement 2020 Adv  |
| %   | Y0DZ | JHancock Disciplined Value R2            | %                 | N912 | T. Rowe Price Retirement 2025 Adv  |
| %   | Z624 | PGIM QMA Stock Index Fund                | %                 | N616 | T. Rowe Price Retirement 2030 Adv  |
| %   | Z189 | T. Rowe Price New America Growth         | %                 | N913 | T. Rowe Price Retirement 2035 Adv  |
| %   | S890 | Northern Trust Mid Cap Index Fund        | %                 | N617 | T. Rowe Price Retirement 2040 Adv  |
| %   | Y5XB | Harbor Small Cap Value Investor          | %                 | N914 | T. Rowe Price Retirement 2045 Adv  |
| %   | Z551 | Northern Small Cap Index                 | %                 | N870 | T. Rowe Price Retirement 2050 Adv  |
| %   | Y5XC | Harding Loevner International Eq Inv     | %                 | N916 | T. Rowe Price Retirement 2055 Adv  |
| %   | Y6PM | iShares MSCI Total International Index A | %                 | Y297 | T. Rowe Price Retirement 2060 Adv  |
| <b>Please be sure your investment election equal 100%</b> |      |  |                   |      |                                    |

*If your elections above are not whole percentages and/or do not equal 100%, your form will be considered incomplete and will be returned to you*

*Investors should consider the investment objectives, risks, charges and expenses of a fund carefully before investing. For a prospectus or an offering statement containing this and other information about any fund, please call your plan's toll-free number. Read the prospectus or offering statement carefully before making any investment decisions.*

*If a completed form is not received before contributions are received, your contributions will be invested in the plan QDIA. Upon receipt of your completed form, all future contributions will be allocated according to your investment election. You must contact Transamerica to transfer any existing funds from the QDIA or complete the Transfer Existing Balances Form.*

### 4. Participant Signature

I authorize the IUE-CWA Retirement Savings and Security Plan to implement any withholdings indicated on page 1 of this form and to carry out the investment directive elections above. I authorize the plan to make any name, address or marital status changes. This form will revoke all prior elections. A confirmation of this form will be sent to me acknowledging my elections.

\_\_\_\_\_  
Signature of Participant

\_\_\_\_\_  
Today's Date (MM-DD-YYYY)

**TRANSFER EXISTING BALANCES FORM**  
**IUE-CWA 401(k) Retirement Savings and Security Plan**  
**(Plan# 651794)**



- Use this form to make changes to transfer your EXISTING INVESTMENT FUND balances.
- **This form will not update your future investment allocations for new contributions. Please note: If you want to change your future investment contribution allocations, you need to fill out the Change Form - Contribution & Future Investment Election.**
- Your choices on this form may affect your taxes. You may want to consult a tax or financial advisor.
- Please return this completed form (mail or fax to):  
**Mail: IUE-CWA 401(k) Plan, 2001 E. 3<sup>rd</sup> Street, Bloomington, IN 47401, Fax: 812-671-9696, Phone #: 888-803-7449**

**1. Participant Information**

Marital Status:  Married  Never Married  Divorced  Widowed

\_\_\_\_\_  
 Social Security Number

\_\_\_\_\_  
 Date of Birth (MM-DD-YYYY)

\_\_\_\_\_  
 Employer / Company Name

\_\_\_\_\_  
 Last Name

\_\_\_\_\_  
 First Name

\_\_\_\_\_  
 MI

**2. Transfer your existing investment fund balances**

Indicate below in whole percentages how your existing balances should be invested in each investment fund. You may also go online or call the Plan's toll-free number to elect separate investment elections by investment type and separate transfer elections by fund and investment type.

**Your Investment Election below must equal 100%.**

**This will replace your current balances for all sources.**

| Fixed/Stated Rate of Return                               |      |  | Multi-Asset/Other |      |                                    |
|---|------|--|-------------------|------|------------------------------------|
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**3. Participant Signature**

I authorize the IUE-CWA Retirement Savings and Security Plan to carry out the change(s) indicated on of this form, subject to the terms and provisions of the Plan. A confirmation of the form will be sent to me acknowledging my elections.

\_\_\_\_\_  
 Signature of Participant

\_\_\_\_\_  
 Today's Date (MM-DD-YYYY)